

# **Governance and Audit Committee**

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**24 November 2020** 

Report of Monitoring Officer Author Andrew Weavers

**282213** 

Title Annual review of the Members' Code of Conduct and the Council's

**Localism Act Arrangements** 

Wards affected Not applicable

## 1. Executive Summary

- 1.1 This report requests the Committee to review the Councillors' (Members') Code of Conduct, the Council's Localism Act Arrangements for dealing with complaints made regarding councillors and to make recommendations to Full Council regarding any necessary amendments.
- 1.2 The report also provides an update on the number and types of complaints received under the Members' Code of Conduct.

### 2. Recommended Decisions

- 2.1 To note the contents of this report.
- 2.2 To review the Members' Code of Conduct and the Council's "Arrangements" and consider whether to make any recommendations in relation to any amendments to the Members' Code of Conduct and the Council's "Arrangements" to Full Council.

### 3. Background

- 3.1 The Localism Act 2011 ("the Act") introduced the current system of regulation of standards of conduct for elected and co-opted Members. These arrangements came into effect on 1<sup>st</sup> July 2012. The Act requires local authorities to adopt a code of conduct consistent with the seven Nolan Principles of public life and that Principal Authorities put in place "Arrangements" to deal with allegations that Councillors had failed to comply with the Members' Code of Conduct. Principal Authorities are also required to appoint at least one "Independent Person" who are to be consulted as part of the Council's "Arrangements".
- 3.2 The Full Council at its meeting on 25 June 2012 formally adopted the Borough Council's Members' Code of Conduct and its "Arrangements" which are attached to this report at Appendix 2 and Appendix 3 respectively. As part of its "Arrangements", the Full Council at its meeting on 24 May 2017 re-appointed Barbara Pears and Sarah Greatorex as the Council's Independent Persons for a further term of four years.
- 3.3 The Act also introduced the current interest's regime which included a new register of Members' interests. The Government published amended guidance in September 2013 for councillors on interests ("Openness and transparency on personal interests a guide for councillors") which has been circulated to all Borough Councillors and to every Town and Parish Council within the Borough.
- 3.4 The Act places on the Borough Council a statutory duty to promote and maintain high standards of conduct for its elected and co-opted members. The Full Council has in turn delegated this function to this Committee as part of its terms of reference.

3.5 The Committee at its meeting on 26 November 2019 last reviewed the Council's Localism Act processes and this report seeks to review our subsequent experience to date.

#### 4. Review of the Code

- 4.1 The Act did not prescribe the contents of the Code (save to be consistent with the seven Nolan principles) and accordingly the version of the Members' Code of Conduct adopted by the Full Council was a version promoted by the Public Law Partnership. This was to ensure a consistent approach across the County and to ensure that all council's (county, districts and parishes) adopted the same version of the Code to provide a sense of clarity for Councillors.
- 4.2 The Borough Council's Code was adopted by the Town and Parish Council's in the Borough. The Borough Council's Code has been operating successfully in the past year without any apparent difficulties or concerns and I would propose that the Committee continues to keep the Code under annual review and makes recommendations to Full Council regarding any subsequent amendments in the light of experience.
- 4.3 The Act requires the Monitoring Officer to compile and publish on the Borough Council's website a Register of Members' Interests. This includes Disclosable Pecuniary Interests for both Councillors and their partners and pecuniary and non- pecuniary interests just for Councillors. All the Borough Councillors interests are published and most of those of the Town and Parish Councillors in the Borough have also been published on the Borough Council's website. However, the Act did not make it a statutory requirement for all existing Councillors to register their declarable interests. The Act does however make it an offence for a councillor to fail to register their declarable interests within a period of 28 days of becoming elected. However, the Government stated in its guidance: -

"All sitting councillors need to register their declarable interest. Any suggestion that you should tell the monitoring officer about your pecuniary interests only in the immediate aftermath of your being elected is wholly incompatible with this duty (i.e. Nolan Principles), with which you must comply"

This has been specifically been drawn to the attention of all Town and Parish Councils.

- 4.4 The Borough Council's Committee Management Information System (CMIS) enables the Register of Members' Interests to be published in line with the Government's transparency agenda so that all Councillors' information is in one place on the website.
- 4.5 Training on the Code on Conduct is offered regularly by the Monitoring Officer for Borough Councillors together with refresher training for Parish Councils.
- 4.6 The opportunity has been taken to amend the Code to reflect the fact that it is reviewed annually.

### 5. Review of the Arrangements and the Code of Conduct

- 5.1 The Act required the Council to adopt "Arrangements" for dealing with allegations that Councillors have potentially failed to comply with the Code. Any allegations that Councillors have not complied with the Disclosable Pecuniary Interests regime under the Act are not dealt with under the Council's "Arrangements" as they are potentially criminal offences and need to be dealt with by the Police. As at the date of this report there have been no such allegations or referrals.
- 5.2 The Council's "Arrangements" are made publicly available on the Borough Council's website and have been used on several occasions in relation to both Borough and Parish councillors. All allegations are received by the Monitoring Officer who makes an initial assessment of the merits of the complaint in consultation with an Independent Person in accordance with the "Arrangements".

- 5.3 The table at Appendix 1 below details the number, type and outcome of allegations received since 1 July 2012. The table indicates a decrease in the numbers in the past year. This is cannot be attributed to a particular reason. The Localism Act states that the Code of Conduct only applies when a Councillor is acting in their official capacity as a councillor. This has been upheld by subsequent case law which has confirmed the position. Accordingly, the Code can only be used to regulate councillors' conduct when they are acting in that capacity.
- 5.4 In previous years several complaints had been received regarding comments made on social media. The Committee supported the provision of training for councillors regarding the use of social media. In addition, the Member Development Group highlighted social media as an area where training should be provided for councillors. Last year sessions were run for councillors facilitated by an external trainer which were well attended. Even though the numbers of complaints have reduced it is suggested that refresher sessions are scheduled in due course.
- 5.5 Overall the Council's Localism Act "Arrangements" appear to be operating satisfactorily and I would propose that the Committee continues to keep them under annual review and to make any appropriate recommendations to Full Council on any subsequent amendments to the "Arrangements" in the light of experience. The Council's Code of Conduct is compliant with the law, however the Committee may wish to review its wording.
- 5.6 The Committee at its meeting on 28 July 2020 considered a report on the Local Government Associations' consultation on its proposed Model Code of Conduct. As agreed, the questionnaire was completed and submitted and the Local Government Association's response to the consultation and its recommendations will be reported to the Committee in due course.

## 6. Strategic Plan References

6.1 The manner in which the Council governs its business is an underpinning mechanism in the Council's Strategic Plan priorities to set out the direction and future potential for our Borough.

# 7. Publicity Considerations

- 7.1 The Council's Code of Conduct and "Arrangements" are available on the Council's website www.colchester.gov.uk/standards
- 8. Financial, Equality, Diversity and Human Rights, Consultation, Health, Wellbeing and Community Safety, Health and Safety, Risk Management and Environmental and Sustainability Implications
- 8.1 None.

## **Appendices:**

Appendix 1 – Code of Conduct Allegations received

Appendix 2 – Members' Code of Conduct

Appendix 3 – Localism Act Arrangements